

# *HOST vs. MOST for stably stratified surface layer*

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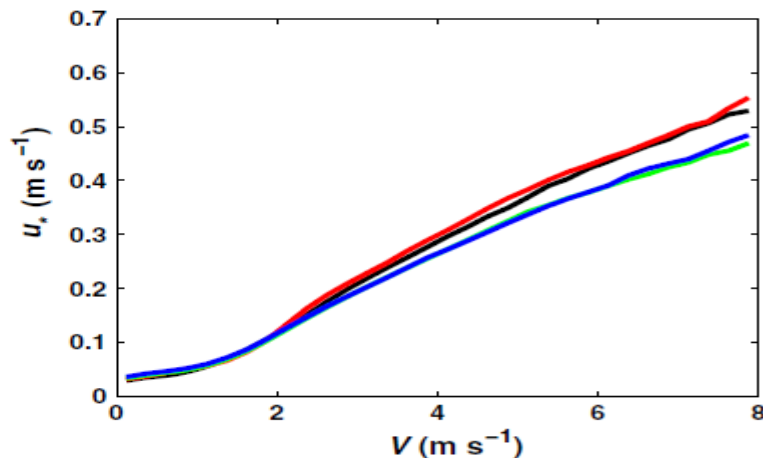
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*Tnx to Željko Večenaj, Sergej S. Zilitinkevich & Larry Mahrt*

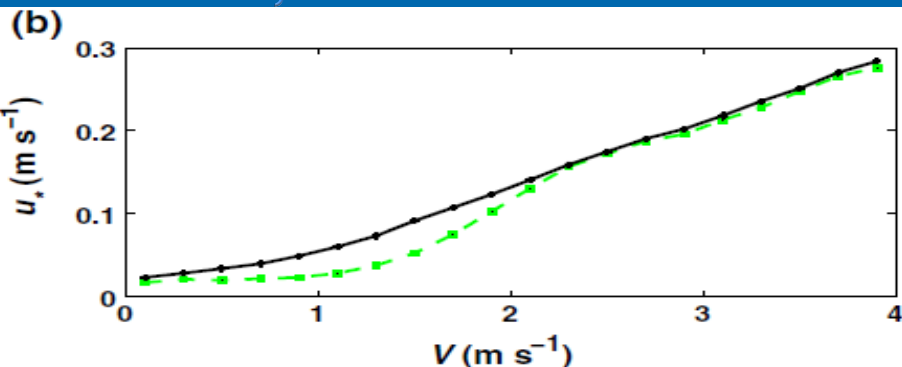
Fig. 4 The dependence of  $u_*$  on  $V$  based on averages over the upland stations (black, A1, A4 and A14), up-valley gully stations (red, A2, A3 and A5), the mid slope stations (blue, A10, A12, A13, A18 and A19) and the valley-floor stations (green, A7, A8, A11 and A16). Station locations are identified in Fig. 1



← Shallow Cold Pool Exp. [SCP], NE Colorado, USA, semiarid grassland, 1.6 km ASL, 10-11.2012

↓ Focus here: regime 1  
↔ 2, red No. on black lines

L. Mahrt, J. Sun & D. Stauffer, BLM 2015



↑ Weak stratification ↔ black  
strong stratif. ↔ green = hockey-stick behavior

JANUARY 2012

SUN ET

J. Sun, L. Mahrt, R. Banta & Y. Pichugina, JAS



FIG. 2. Schematic of the three turbulence regimes (red numbers) and the three categories of turbulence intermittency (green letters) commonly observed during CASES-99 at each observation height. Turbulence in regime 1 is mainly generated by local instability. Turbulence in regime 2 is mainly generated by the bulk shear. Turbulence in regime 3 is mainly generated by top-down turbulent events.

# What are MOST & HOST?

- **MOST** - well established view: Monin & Obukhov Similarity Theory for geophysical surface layer flows
- Assume  $H. H.$ , vertical turbulent fluxes of key fields, small-to-moderate departures from the logarithmic law by corrections, v.s.  
 $z/L_o = \text{height} / [\text{Obukhov length}]$
- Strong departures from the log-law, e.g., weak mean wind, or extreme stratification, can't be seen as 'corrections' in MOST
- **HOST**, Hockey-Stick Transition, goes beyond MOST local fixes & accepts unsteady, nonlocal, submeso effects on sfc. fluxes

# OUTLINE

- **Intro:** motives, missing physics, modeling
- **Linear approach:** simple HOST vs. extend. MOST
- **Nonlinear view:**  $u_*^2 = C_d U^2 + u_{*0}^2$
- **Data & examples:** SCP, FLOSSII, CASES-99...
- **Tentative end:** qualitative agreement between MOST & HOST

# The Minimum Wind Speed for Sustainable Turbulence in the Nocturnal Boundary Layer

B. J. H. VAN DE WIEL,\* A. F. MOENE,<sup>†</sup> H. J. J. JONKER,<sup>#</sup> P. BAAS,<sup>@</sup> S. BASU,<sup>&</sup> J. M. M. DONDA,\*  
J. SUN,\*\* AND A. A. M. HOLTSLAG<sup>†</sup>

↓ V. d. Wiel et al. JAS 2012,  
Cabauw data,  $u_*^2$  vs.  $V$

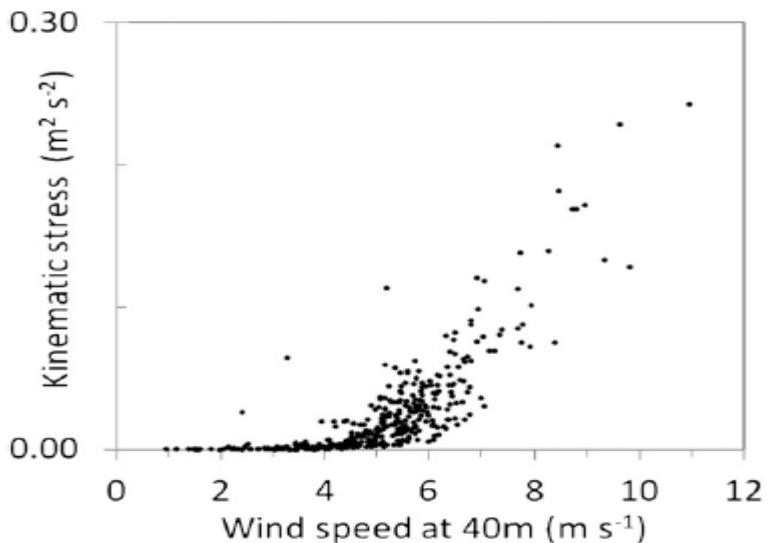


FIG. 1. Nighttime kinematic turbulent stress (at 5 m) as a function of wind speed at 40 m for clear-sky situations observed at the KNMI Cabauw observatory. Each point represents a 4-h mean value. At nighttime a minimum wind speed is needed in order to generate significant stress values.

↓ Jielun Sun,  $u_*$  vs.  $V$ , made  
adapted from JAS

2012, note the tick black line ↔  
simple MOST result

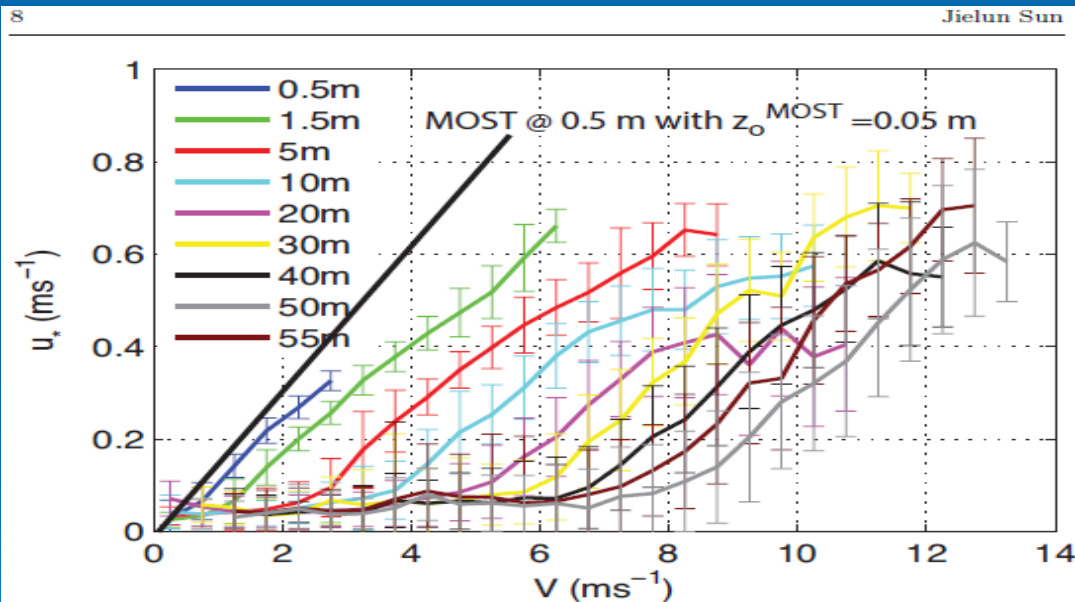


Fig. 1 Composite relationships between  $u_*(z)$  as a function of wind speed  $V(z)$  at nine observation levels in comparison with the one calculated based on MOST under near neutral conditions. The data are the nighttime data from the Cooperative Atmosphere-Surface Exchange Study in 1999 (CASES-99) ([https://www.eol.ucar.edu/field\\_projects/cases-99](https://www.eol.ucar.edu/field_projects/cases-99)). The approximate linear relationship between  $u_*(z)$  and  $V(z)$  under strong winds at each observation height represents the relationship under near neutral conditions. Instrument information and data analysis methods are explained in Sun et al. (2012).

# Linear approach: *simple HOST vs. extended MOST*

- J. Sun et al. BLM 2016: weak-to-moderate departures from neutrality, trying  $u_*(z) = \alpha(z)U(z) + \beta(z)$ , as a simple HOST

- S. Zilitinkevich & P. Calanca, QJRMS 2000:

$$U(z) = \frac{u_*}{k} \left[ \ln(z/z_0) + \frac{az}{L_0} \right] + \frac{b}{k} Nz$$
$$u_* = \frac{k}{\ln(z/z_0) + az/L_0} U - \frac{bNz}{\ln(z/z/z_0) + az/L_0}$$



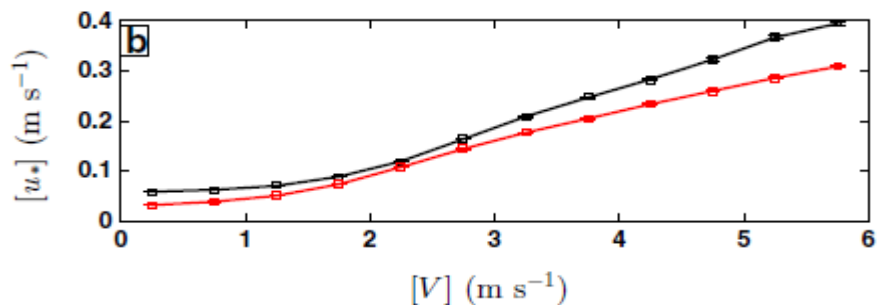
$$\frac{\partial U(z)}{\partial z} = \frac{u_*(z)}{k} \left( \frac{1}{z} + \frac{a}{L_0} + \frac{bN}{u_*(z)} \right)$$
$$= \frac{u_*(z)}{k} \left( \frac{1}{z} + \frac{a}{L_0} \right) + \frac{b}{k} N,$$

- Not promising – compare to the  $\uparrow$  plausible terms in  $u_* = \alpha U + \beta$
- Other fix-ups tried, but the plots of  $\alpha(z)$ ,  $\beta(z)$  &  $u_*(U)$ , Sun et al. do not fit in!

# Missing physics, modeling issues

- **Basic MOST:** stationarity, horizontal homogeneity, monotonous increase of  $U(z)$ , Taylor hypothesis, unimportant directional mean wind shear, continuous turbulence, or an extreme: no turbulence,  $Pr_{turb} \approx 1, \dots$
- **But turbulence** can be patchy, intermittent, generated non-locally, i.e., in **disequilibrium**; it may interact with short buoyancy waves – mixing more momentum than temperature & scalars, e.g.  $Pr_{turb} > 1$ ,  $Ri_{grad} \gg 1, \dots$

# NONLINEAR VIEW - FLUX FORM, $u_*^2$



↔ Mahrt, BLM 2017:  $u_*$  remains significant even for dying  $U(z)$  !

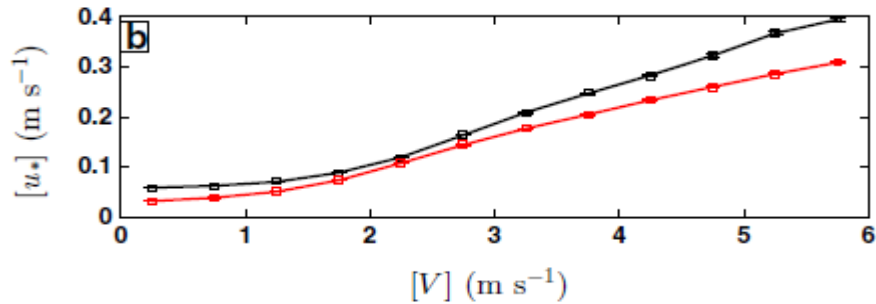
SCP - red, FLOSSII - black; thus, his **concept of generalized turbulence velocity scale** [Mahrt, QJRMS 2008] – surrogate for **unresolved meso-motions**

Here:  $u_*^2 = C_d U^2 + u_{*0}^2 \dots$  allows:  $u_*^2 = C_{d1} U^2 + u_{*0}^2$ ,  $U > V_s$

$u_*^2 = C_{d2} U^2 + u_{*0}^2$ ,  $U < V_s$ ; usually  $C_{d2} \leq C_{d1}$



# NONLINEAR VIEW - FLUX FORM, $u_*^2$



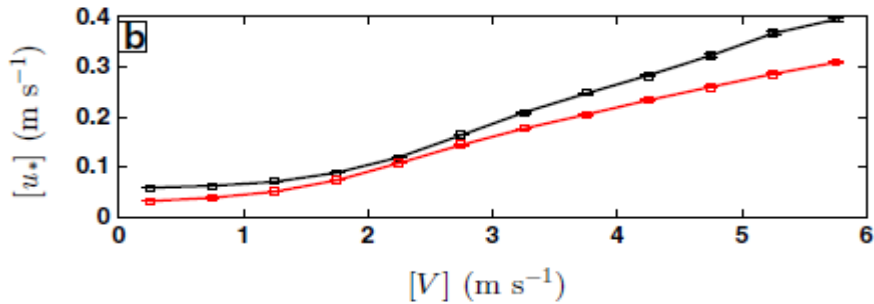
⇔ Mahrt, BLM 2017:  $u_*$  may remain significant even for vanishingly small  $U(z)$   
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$$u_*^2 = C_{d2} U^2 + u_{*0}^2, U < V_s; \text{ usually } C_{d2} \leq C_{d1}$$

1.  $U > V_s \rightarrow u_* \approx C_{d1}^{1/2} U + u_{*0}^2 / (2 C_{d1}^{1/2} U) - \dots \Leftrightarrow$  roughly  $u_* \sim U$

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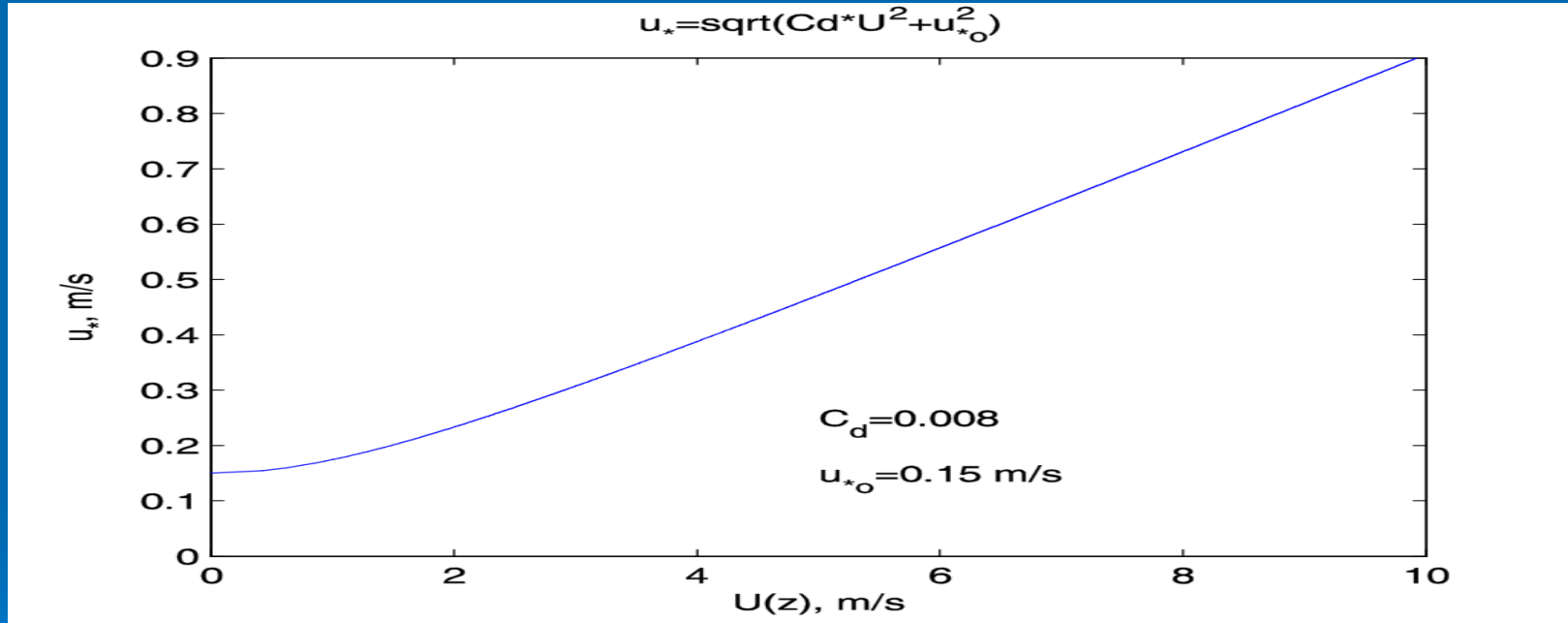
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2.  $U < V_s \rightarrow u_* \approx u_{*0} + C_{d2} U^2 / (2 u_{*0}) - \dots \Leftrightarrow$  roughly  $u_* \sim u_{*0}$

# SIMPLE ANALYTIC SKI-LIKE BEHAVIOR



Like HOST: ski-like dependency  $u_*(U)$  based on  $u_*^2 = C_d U^2 + u_{*o}^2$ . Similar to Mahrt et al. (2015; their Figs. 4, 5a & 8b), Sun et al. (2016; their Figs. 4a & 13a), etc. Calculated  $V_s$  here 1.7 m/s

# Relating $(V_s, u_{*0}, u_{*c})$ in 2 regimes

➤ **Matching:**  $U(z) = V_s(z)$

1.  $u_*^2 = C_{d1}U^2 + u_{*0}^2, U > V_s$

2.  $u_*^2 = C_{d2}U^2 + u_{*0}^2, U < V_s$

→  $u_{*c}^2 = C_{dd}V_s^2 + u_{*0}^2, \quad \text{for } U = V_s$

At  $U = V_s$  both terms  $\uparrow$  on the R.H.S. contribute equally

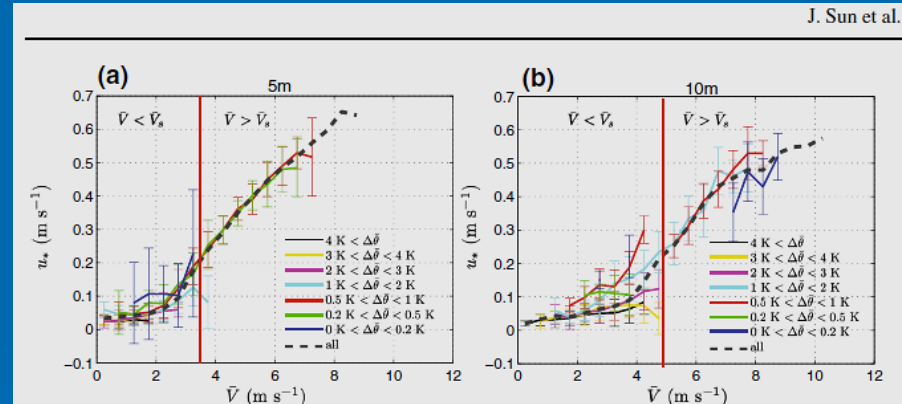
$$\Leftrightarrow u_{*c} = (2C_{dd})^{1/2}V_s = 2^{1/2}u_{*0}$$

# Examples

- Assume  $C_{dd} = 1.6 \cdot 10^{-3}$ ,  $V_s = 2 \text{ ms}^{-1}$ ;  $\rightarrow u_{*0} = (C_{dd})^{1/2} V_s = 8 \text{ cms}^{-1}$ ,  $u_{*c} \approx 11.3 \text{ cms}^{-1}$

Accidental coincidence:  $\min(u_*) = 7 \text{ cms}^{-1}$  in MIUU mesoscale model !

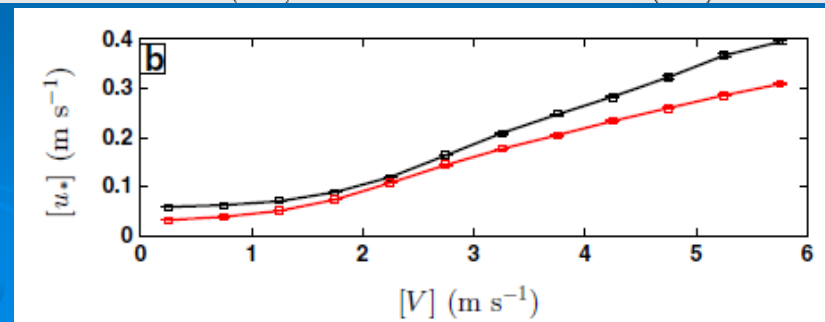
- J. Sun et al. BLM 2016:  $V_s$ ,  $u_{*c}$  ←
- $C_{dd} \approx 1.6 \cdot 10^{-3}$ ,  $1.4 \cdot 10^{-3}$  @ 5, 10 m
- $u_{*0} \approx 14, 18 \text{ cms}^{-1}$  @ 5, 10 m



L. Mahrt BLM 2017:  $V_s$ ,  $u_{*c}$ , 5 m ←

$\approx (1.25 \pm 0.1) \text{ ms}^{-1}$ ,  $(7 \pm 0.15) \text{ cms}^{-1}$

$\Leftrightarrow u_{*0} \approx 6.8 \text{ cms}^{-1}$ , SCP - red, FLOSSII - black



# Discussion

- *The issue: sufficiently weak large-scale flow → generation of turbulence by unresolved (sub)mesoscale motions important,  $u_* \neq C_d^{1/2}U$*
- *Concept of generalized turbulence velocity scale used, similar for e.g. sheared convection, ABL depth, generalized mixing length scale, ...*
- *Weakness/strength of the approach: multitude of processes are lumped together into  $u_{*0}$  or  $u_{*c}$*

# Conclusions

- *HOST ↔ MOST for stably stratified sfc. layer tackled*
- *Needed for better, more complete data interpretation*
- *All operational NWP & climate models still have the need to parameterize some mesoscale processes including turbulence*
- *We obtained ski-like behavior, a key ingredient of HOST, via simple quadratic relation for fluxes, that also yields:*

$$u_{*c} = (2C_{dd})^{1/2} V_s^2 = 2^{1/2} u_{*0}$$

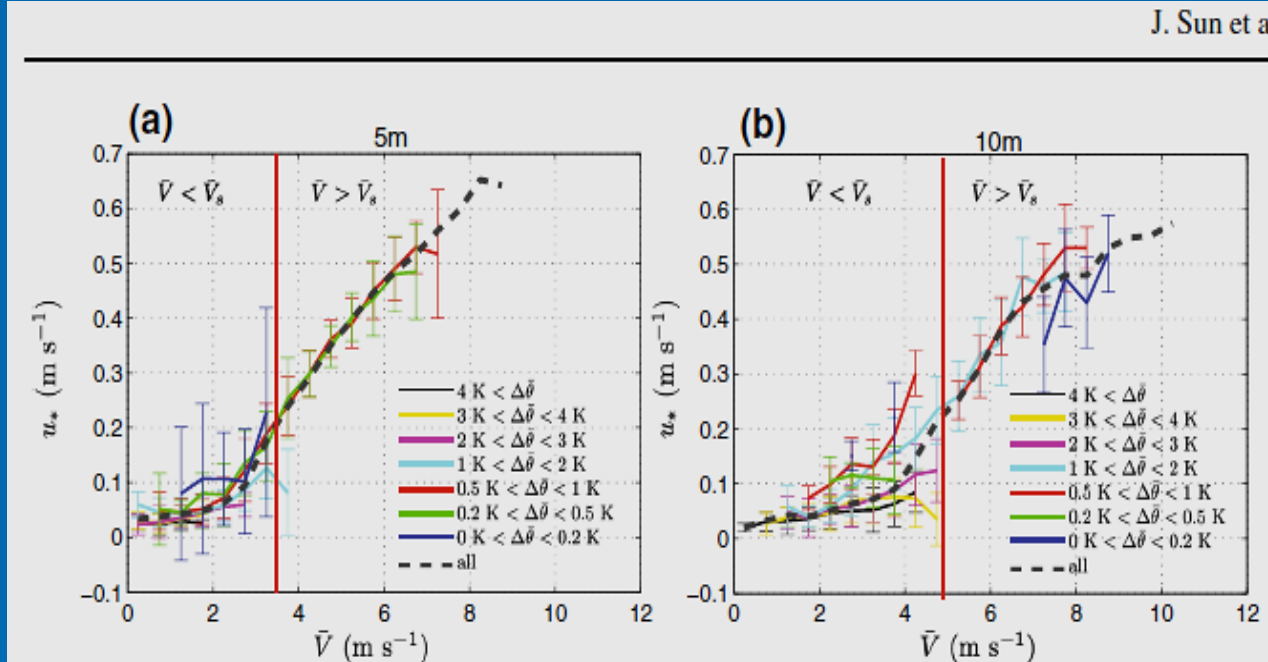
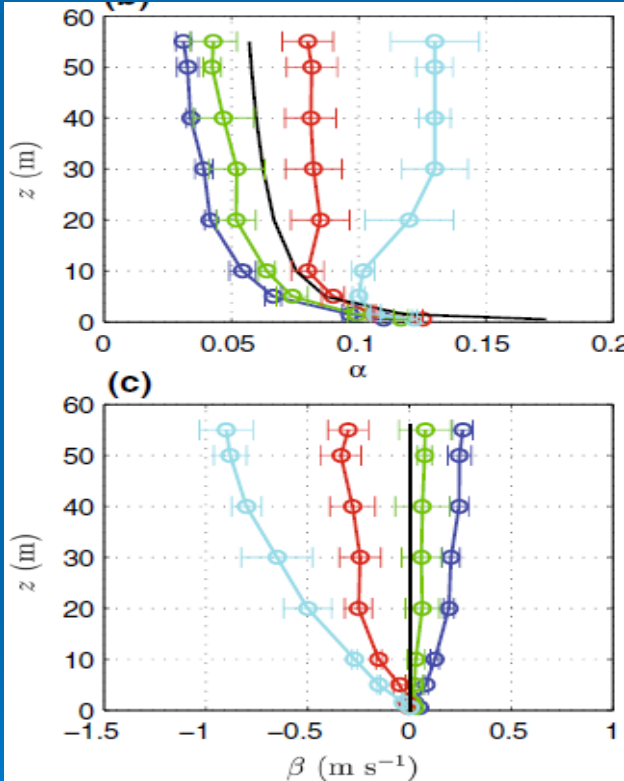
- *...Still far from a firm & complete theory ... More data analysis, model implementation & testing...*



*Is the HOST yet another  
hostile bla-bla... against  
my dear miaou-MOST?*

<http://www.pmf.unizg.hr/geof>  
[bgrisog@gfz.hr](mailto:bgrisog@gfz.hr)





Light blue  $\Leftrightarrow$  Stable Sfc. Layer [SSL], red  $\Leftrightarrow$  neutral, note  $\beta \leq 0$  allowing  $u_* < 0$ ?

*Ski-like, or HOCkey-Stick Transition, i.e., HOST behavior of friction velocity vs. mean wind speed, i.e.,  $u_*(U) = u_*(V)$  dependency observed, CASES-99*

-These obs.  $\alpha(z), \beta(z) \neq \alpha, \beta$  from Zilitinkevich & Calanca, QJ 2000

The focus here:

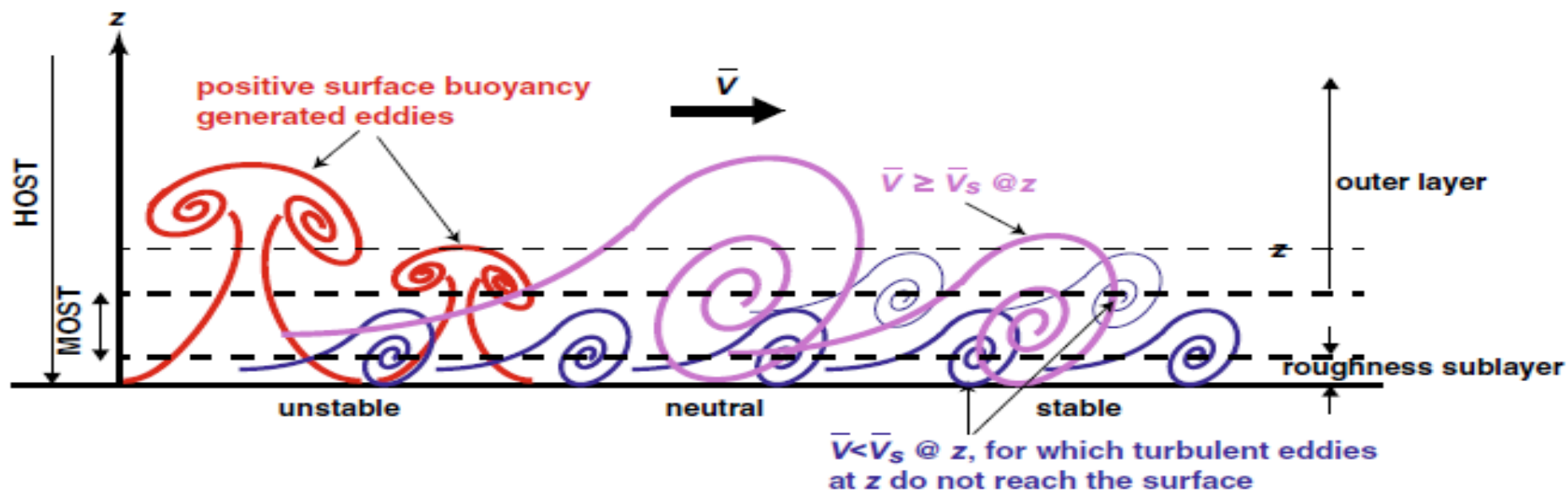
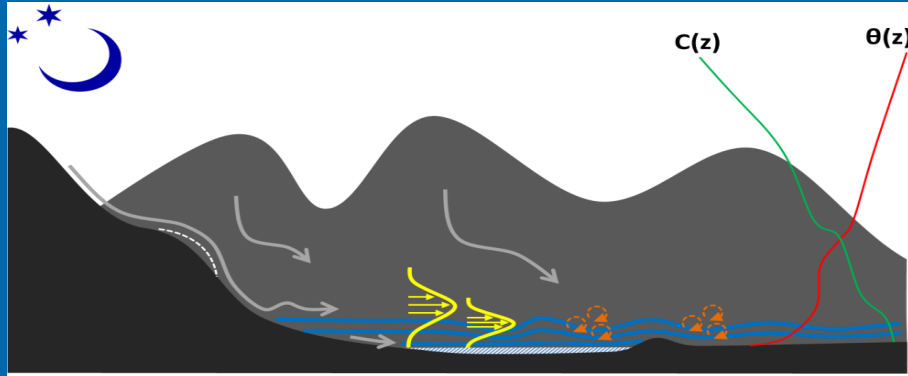
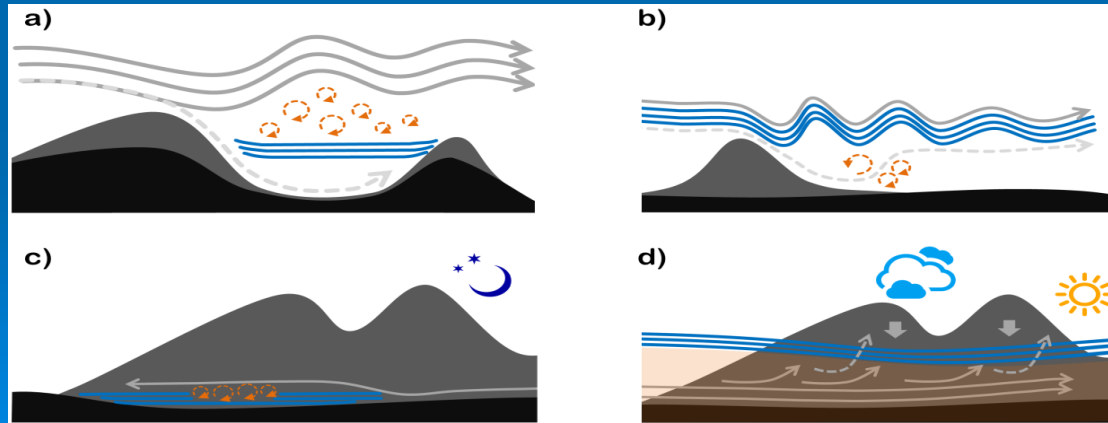


Fig. 1 Schematic illustration showing the thin layer where MOST is valid (between the two *thick dashed lines*), and the characteristic sizes of turbulent eddies described in the HOST hypothesis. Turbulent eddies in *purple* and *blue* are generated by shear  $\delta \bar{V}(z)/\delta z$  for  $\delta z = z$  and  $\delta z < z$ , respectively. The *thick* and *thin blue* eddies represent the situations when turbulent eddies are attached to the surface but the shallow turbulence layer is below height  $z$ , and when turbulent eddies are generated by elevated shear above the surface; in both situations, turbulent eddies at  $z$  do not reach the surface. Turbulent eddies in *red* represent those generated by positive buoyancy from heated surface

# Sketches of small mesoscale flows



*Drainage flows, very low-level jets, cold-air pools, short buoyancy waves, elevated turbulence, enhanced intermittent mixing, boundary-layer separation, flow reattachment...*



*Stable layers, blue, controlling or affecting multi-scale interactions*

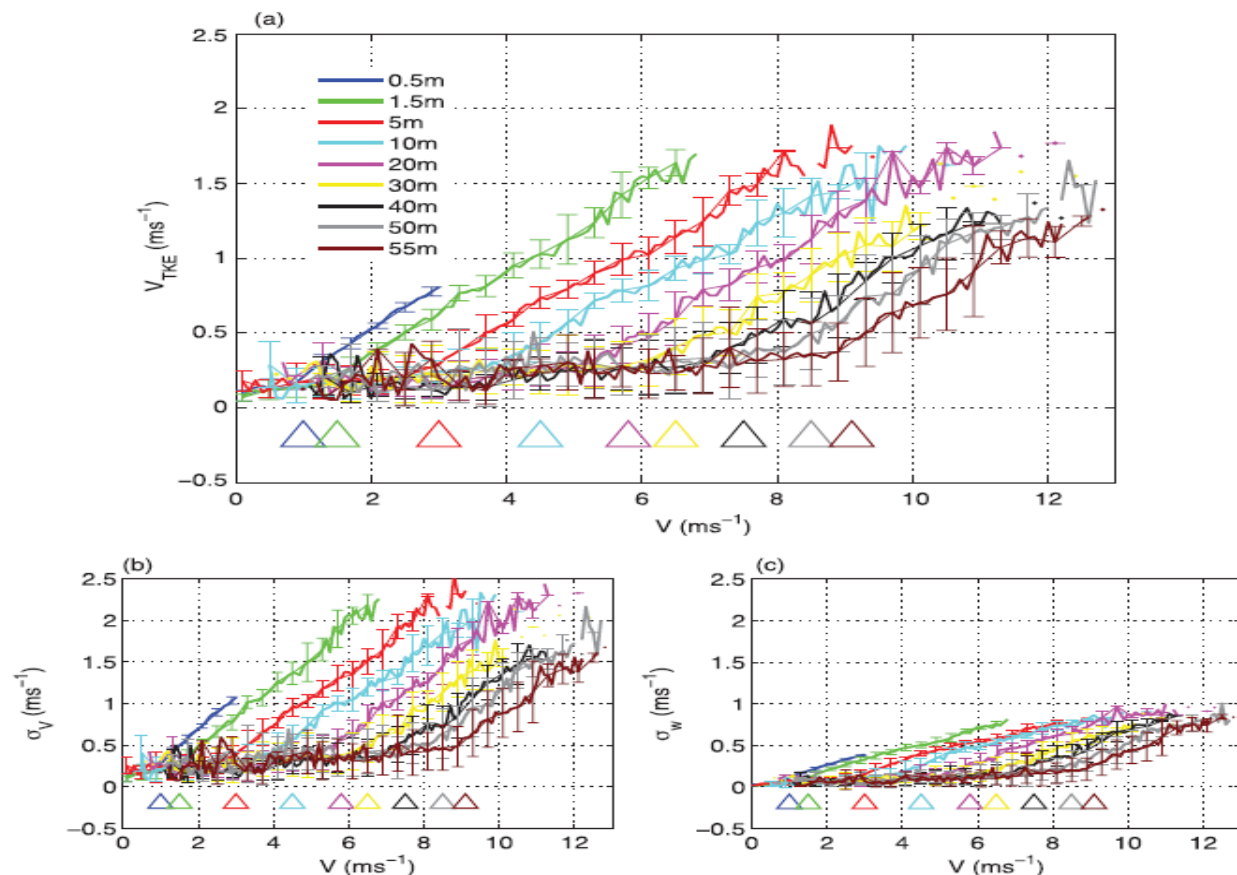


FIG. 1. The relationship (a) between the bin-averaged turbulence strength  $V_{\text{TKE}}$  and the wind speed  $V$ , (b) between the bin-averaged standard deviation of the vertical velocity  $\sigma_v$  and  $V$ , and (c) between the bin-averaged standard deviation of the wind speed  $\sigma_w$  and  $V$ , at the nine observation levels. In each panel, the standard deviation of the variable in ordinate within each  $V$  bin is marked by a vertical line. The threshold wind speed at each level is marked with a triangle in the color of the height. The data are from the entire CASES-99 dataset as described in the text.

*J. Sun, L. Mahrt,  
R. Banta &  
Y. Pichugina,  
JAS 2012:*

*HOST again but  
now at various  
heights*